

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Sharon Flank et al.

Application No.: 10/063,414

Confirmation No.: 9904

Filed: April 21, 2002

Art Unit: 2162

For: METHOD AND APPARATUS FOR
DIGITAL MEDIA MANAGEMENT,
RETRIEVAL, AND COLLABORATION

Examiner: S. A. Alam

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Supplemental Information Disclosure Statement is submitted in accordance with 37 C.F.R. 1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

1. This IDS should be considered, in accordance with 37 C.F.R. 1.97, as it is filed:

- ☐ A. within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above identified national application
- ☒ B. before the mailing date of a first office action on the merits, or a first office action after filing a request for continued examination.
- ☐ C. after (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary statement in box "i" below or paid the necessary fee in box "ii" below.

(Check one of the boxes A-D)

(check one of the boxes "i" and "ii" below:)

☐ i. Counsel states that, upon information and belief, each item of information listed herein was (check one of boxes (a) or (b))

☐ (a) first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

☐ (b) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

☐ ii. Payment in the amount of the fee set forth in 1.17(p), presently believed to be \$180, is enclosed.

☐ D. after (A), (B) and (C) above, but before payment of the issue fee: Applicant petitions under 37 C.F.R. 1.97(d) for the consideration of this IDS. Under 37 CFR 1.17(p) a check in the amount of \$180.00 is enclosed. Counsel certifies that, upon information and belief, each item of information listed herein was

(check one of the boxes "a" and "b" below:)

☐ (a) first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

☐ (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

2. In accordance with 37 C.F.R. 1.98, this IDS includes a list (e.g., form PTO/SB/08) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document listed is attached, except as explained below.

(check boxes A, B and/or C and fill in blanks, if appropriate.)

- ☒ A. Pursuant to the Notice issued by the United States Patent and Trademark Office dated August 5, 2003 waiving the requirements of 37 C.F.R. § 1.98(a)(2)(ii), a copy/copies of the U.S. Patent(s) and/or U.S. Patent Application Publication(s) on PTO/SB/08 is/are not being submitted.
- ☐ B. Document(s) _____ is (are) deemed substantially cumulative to document(s) _____, and, in accordance with 1.98(c), only a copy of each of the latter documents is enclosed.
- ☐ C. Certain documents were previously cited by or submitted to the Office in the following prior applications, which are relied upon under 35 U.S.C. 120:

<<INSERT SERIAL NO. & FILING DATE>>

Applicant identifies these documents by attaching hereto copies of the forms PTO-892, PTO-1449 and/or PTO/SB/08 from the files of the prior application(s) or a fresh PTO/SB/08 listing these documents, and request that they be considered and made of record in accordance with 1.98(d). Per 37 CFR 1.98(d), copies of these documents need not be filed in this application.

- ☐ 3. Cite Nos. _____ are not in the English language. In accordance with 1.98(c), Applicant states:
- ☐ An English translation of each document (or of the pertinent portions thereof), or a copy of each corresponding English-language patent or application, or English-language abstract (or claim) is enclosed.
- ☐ The requirement for a concise explanation of the relevance of any foreign language document is satisfied by the attached search report; citation of the documents cited in the search report shall not be construed as an admission that they are or are considered to be, material to patentability of the subject matter claimed herein (See MPEP §609).
- ☐ A concise explanation of the relevance of document(s) _____ is set forth as follows: [Insert concise explanation of relevance]
- ☐ A concise explanation of the relevance of document(s) _____ can be found on page(s) _____ of the specification.
- ☐ A concise explanation of document(s) _____ can be found on the attached sheet.

Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/063,414-Conf. #9904
				Filing Date	April 21, 2002
				First Named Inventor	Sharon Flank
				Art Unit	2162
				Examiner Name	S. A. Alam
Sheet	1	of	3	Attorney Docket Number	08228/1203278-US5

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)	MM-DD-YYYY		
	AA*	US-6,735,583	05-11-2004	Bjarnestam et al.	
	AB*	US-5,832,495	11-03-1998	Gustman	
	AC*	US-5,813,014	09-22-1998	Gustman	
	AD*	US-5,832,499	11-03-1998	Gustman	
	AE*	US-5,241,671	08-31-1993	Reed et al.	
	AF*	US-5,493,677	02-20-1996	Balogh et al.	
	AG*	US-20060242139-A1	10-26-2006	Butterfield et al.	
	AH*	US-6,574,609	06-03-2003	Downs et al.	
	AI*	US-6,006,221	12-21-1999	Liddy et al.	
	AJ*	US-20040205333-A1	10-14-2004	Bjorkengren	
	AK*	US-6,138,119-B1	10-24-2000	Hall et al.	
	AL*	US-20020077986-A1	06-20-2002	Kobata et al.	
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	AQ*	US-6,012,068	01-04-2000	Boezeman et al.	
	AR*	US-4,337,483	06-29-1982	Guillou et al.	
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	AT*	US-5,260,999	11-09-1993	Wyman	
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	AZ*	US-5,553,143	09-03-1996	Ross et al.	
	AA1*	US-5,850,561	12-15-1998	Church et al.	
	AB1*	US-5,251,316	10-05-1993	Anick et al.	
	AC1*	US-6,125,236	09-26-2000	Nagaraj et al.	
	AD1*	US-6,243,713	06-05-2001	Nelson et al.	
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	AK1*	US-5,642,502	06-24-1997	Driscoll	
	AL1*	US-5,903,892	05-11-1999	Hoffert et al.	
	AM1*	US-6,072,904	06-02-2000	Desai et al.	
	AN1*	US-5,600,775	02-04-1997	King et al.	
	AO1*	US-5,938,724	08-17-1999	Pommier et al.	
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	AQ1*	US-6,385,596-B1	05-07-2002	Wiser et al.	
	AR1*	US-5,721,902	02-24-1998	Schultz	
	AS1*	US-6,574,622	06-03-2003	Miyachi et al.	

Examiner Signature	Date Considered
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Sheet	2	of	3	Attorney Docket Number	08228/1203278-US5

	AT1*	US-6,404,441	06-11-2002	Chailleux	
	AU1*	US-6,581,055	06-17-2003	Ziauddin et al.	
	AV1*	US-6,523,028	02-18-2003	DiDomizio et al.	
	AW1*	US-5,864,845	01-26-1999	Voorhees et al.	
	AX1*	US-5,325,298	06-28-1994	Gallant	
	AY1*	US-5,442,778	08-15-1995	Pedersen et al.	
	AZ1*	US-5,706,497	01-06-1998	Takahashi et al.	
	AA2*	US-6,947,959	09-20-2005	Gill	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	†*
		Country Code ¹ -Number ² -Kind Code ³ (if known)					
	BA	WO-02/19147		03-07-2002	Emotion Inc et al		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. ¹	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	†			
	CA	Getty Images. License Information located at< http://creative.gettyimages.com/source/home/license.aspx >, visited July 6, 2006, Copyright 1999-2006, 2 pages.				
	CB	HARMAN et al., "Inverted Files," Information Retrieval: Data Structures & Algorithms, Prentice Hall PTR, 1992, pp.28-43				
	CC	SALTON, Gerard et al., "Introduction to Modern Information Retrieval," McGraw-Hill, Inc., 1983, 14 pgs.				
	CD	VELLUCCI, Sherry L. "Metadata," Annual Review of Information Science and Technology, 1998, Volume 33, pp. 187-222.				
	CE	WOOL, Gregory "A Meditation on Metadata," E-Serials: Publishers, Libraries, Users and Standards, 1998, 10 pgs.				
	CF	New Services Simplify Online Reprint Requests by Organization and Individuals; Agreement Marks 1st Content-Licensing Arrangement for UPI, February 3, 2004, St. Paul, Minnesota, 3 pgs., http://www.icopyright.com/news/pr20040203.html , accessed 5/8/2004.				
	CG	Media & Digital Asset Management v2.5, IntegriSistem, 3 pgs., http://www.integritsistem.com/mam/index.htm , accessed 8/3/2004.				
	CH	Final Cut Pro HD Gives You Powerful, Intuitive Content Management Tools That Let You Consolidate, Relink, Mark and Store your Digital Assets for Quick Retrieval, Apple Computer, Inc., 2 pgs., http://www.apple.com/finalcutpro/content.html , accessed 8/3/2004.				
	CI	What is a CareyDAM?, 2 pgs., http://www.dambigidea.com/indexinstantinfo.html , accessed 8/3/2004.				
	CJ	DSMCI Chosen to be Lead Integrator for Discovery Communication's/Discovery Images' Digital Media Management System, DSMCI, August 6, 1998, Beltsville, MD, 3 pgs., http://www.dsmci.com/press_080698_discovery.html , accessed 8/3/2004.				
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CK	RADA, Roy "Hypertext Writing and Document Reuse: The Role of A Semantic Net," Electronic Publishing, August 1990, Vol. 3(3), pgs. 125-140.	
CL	LOHNER, Joe "Machine Conceptualization of Natural Language Text," A Thesis Submitted to the Division of Research and Advanced Studies of the University of Cincinnati, 1995, pgs. 1-315.	
CM	ZANIR, Oren et al. "Fast and Intuitive Clustering of Web Documents," Department of Computer Science and Engineering, 1997, pgs. 1-8.	
CN	KOLLER, Daphne et al. "Hierarchically Classifying Documents Using Very Few Words," 1997, pgs. 1-18.	
CO	BUCKLEY, Chris et al. "Using Clustering and SuperConcepts Within SMART: TREC 6," SabIR Research, Department of Computer Science, Ithaca, NY, 1998, 17 pgs.	

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